



AMENDMENT TO THE CLAIMS

1-16. (Canceled)

17. (Currently amended) A method for utilizing an agreement modeling system, comprising:
receiving, by an agreement modeling system, an indication of an agreement between a party and a counter-party, the agreement including an agreement term associated with a term date;

receiving, by the agreement modeling system, subsequent to the receiving of the indication of the agreement, an indication of a retroactive modification to the agreement, wherein the retroactive modification to the agreement is associated with a modification date;

storing, by the agreement modeling system, information indicative of the agreement term, information indicative of the term date, information indicative of the retroactive modification, and information indicative of the modification date;

~~receiving an indication of a transaction associated with a transaction date;~~

receiving, by the agreement modeling system, a query, wherein the query comprises an indication of a query date, the query date being a date other than the date on which the indication of the query date is was received, and an indication of a transaction date associated with a transaction, wherein the transaction date is different than the query date; and

determining, by the agreement modeling system, an applicability of the agreement term with respect to the transaction, as of the query date, based at least in part on a comparison of the term date, the transaction date, the modification date, and the query date; and

providing, by the agreement modeling system, and indication of the determination.

18. (Previously presented) The method of claim 17, wherein the term date comprises at least one of: (i) a term effective date, (ii) a term expiration date, (iii) a term period, or (iv) a term entry date.

19. (Currently amended) The method of claim 17, wherein the query date comprises ~~at least one of:~~ (i) a date indicated by a user or (ii) a date associated with a transaction,

Patent

20. (Previously presented) The method of claim 17, wherein the indication of the agreement comprises at least one of: (i) an agreement identifier, (ii) a document identifier, (iii) a party entity identifier, (iv) a counter-party identifier, (v) a financial instrument identifier, or (vi) a financial product identifier.

21. (Currently amended) The method of claim 17, wherein at least one of the receiving of the indication of the agreement, the receiving of the indication of the retroactive modification of the agreement, or the receiving of the query comprises receiving information from at least one of: (i) a user, or (ii) a satellite system.

22. (Currently amended) The method of claim 17, wherein the providing further comprising: transmitting an indication of the determination of the applicability of the agreement term with respect to the transaction, as of the query date, to at least one of: (i) a user, or (ii) a satellite system.

23. (Original) The method of claim 22, further comprising:
transmitting an indication associated with a supporting agreement document.

24-40. (Canceled)

41. (Currently amended) The method of claim 17, further comprising:
receiving, by the agreement modeling system, transaction information associated with the transaction and the agreement;
determining identifying, by the agreement modeling system, agreement information associated with the agreement, wherein the agreement information is stored via an by the agreement modeling system;
evaluating, by the agreement modeling system, the transaction information and the agreement information; and
generating, by the agreement modeling system, an indication based on said evaluating.

42. (Currently amended) The method of claim 41, wherein said receiving of the transaction information comprises receiving the transaction information from at least one of: (i) a user, or (ii) a satellite system.

43. (Previously presented) The method of claim 42, wherein the satellite system comprises at least one of: (i) a business system, (ii) a legal system, (iii) a compliance system, (iv) a credit system, (v) a treasury system, or (vi) an operations system.

44. (Previously presented) The method of claim 41, wherein the transaction information is associated with at least one of: (i) a party entity, (ii) a counter-party, (iii) the transaction date, (iv) an agreement date, (v) a financial product, (vi) a financial instrument, or (vii) a monetary amount.

45. (Previously presented) The method of claim 44, wherein the financial product comprises at least one of: (i) an equity product, (ii) a stock product, (iii) an index product, (iv) a fixed income product, (v) a bond product, (vi) a bank loan product, (vii) a whole loan product, (viii) an interest rate product, (ix) a credit derivative product, (x) a commodity product, (xi) a metal product, (xii) a energy product, or (xiii) an agriculture product.

46. (Previously presented) The method of claim 44, wherein the financial instrument comprises at least one of: (i) a swap instrument, (ii) an option instrument, (iii) a buy instrument, (iv) a sell instrument, (v) a call instrument, (vi) a put instrument, (vii) a forward instrument, (viii) a pre-paid forward instrument, (ix) a spot instrument, (x) a repurchase agreement instrument, (xi) a loan instrument, (xii) a warrant instrument, or (xiii) a contract for differences instrument.

47. (Previously presented) The method of claim 41, wherein the agreement information is associated with a covered products matrix.

48. (Previously presented) The method of claim 41, wherein the indication comprises at least one of: (i) an indication of approval, (ii) an indication of non-approval, (iii) an indication that

further evaluation is required, or (iv) an indication that an amendment to an agreement is required.

49. (Previously presented) The method of claim 41, wherein said generating comprises transmitting the indication to at least one of: (i) a user, or (ii) a satellite system.

50. (Previously presented) The method of claim 41, wherein the transaction comprises at least one of: (i) a pending transaction, or (ii) a completed transaction.

51. (Previously presented) The method of claim 41, wherein said evaluating is performed on at least one of: (i) a transaction basis, or (ii) a batch of transactions.

52. (Currently amended) An apparatus for utilizing an agreement modeling system, comprising:

a processor; and

a storage device in communication with said processor and storing instructions adapted to be executed by said processor to:

receive an indication of an agreement between a party and a counter-party, the agreement including an agreement term associated with a term date;

receive, subsequent to the receiving of the indication of the agreement, an indication of a retroactive modification to the agreement, wherein the retroactive modification to the agreement is associated with a modification transaction associated with a transaction date;

store at least one of information indicative of the agreement term, information indicative of the term date, information indicative of the retroactive modification, or information indicative of the modification date;

receive a query, wherein the query comprises an indication of a query date, the query date being a date other than the date on which the indication of the query date was received, and an indication of a transaction date associated with a transaction, wherein the transaction date is different than the query date; and

determine an applicability of the agreement term with respect of the transaction, as of the query date, based at least in part on a comparison of the term date, the transaction date, the modification date, and the query date; and

provide the determination of the applicability of the agreement term with respect to the transaction, as of the query date.

53. (Previously presented) The apparatus of claim 52, wherein said storage device further stores an agreement information database.

54. (Previously presented) The apparatus of claim 52, further comprising:

a communication device coupled to said processor and adapted to communicate with at least one of: (i) a client device, (ii) an agreement modeling system controller, (iii) a satellite system, or (iv) a counter-party device.

55. (Currently amended) A medium storing instructions adapted to be executed by a processor to perform a method of utilizing an agreement modeling system, said method comprising:

receiving, by an agreement modeling system, an indication of an agreement between a party and a counter-party, the agreement including an agreement term associated with a term date;

receiving by the agreement modeling system, subsequent to the receiving of the indication of the agreement, an indication of a retroactive modification to the agreement, wherein the retroactive modification to the agreement is associated with a modification date;

storing, by the agreement modeling system, at least one of information indicative of the agreement term, information indicative of the term date, information indicative of the retroactive modification, or information indicative of the modification date;

~~receiving an indication of a transaction associated with a transaction date;~~

receiving, by the agreement modeling system, a query, wherein the query comprises an indication of a query date, the query date being a date other than the date on which the indication of the query date is received, and an indication of a transaction date associated with a transaction, wherein the transaction date is different than the query date; and

Patent

determining, by the agreement modeling system, an applicability of the agreement term with respect to the transaction, as of the query date, based at least in part on a comparison of the term date, the transaction date, the modification date, and the query date; and providing, by the agreement modeling system, the determination of the applicability of the agreement term with respect to the transaction, as of the query date.